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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this box ---> [+] U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/900,803 **Application Number** TRANSMITTAL **Filing Date** July 6, 2001 **FORM** Brian Yen First Named Inventor (to be used for all correspondence after initial filing) 2161 Group Art Unit Unknown **Examiner Name** Total Number of Pages in This Submission N/A Attorney Docket Number 51861.00002 ENCLOSURES (check all that apply) After Allowance Communication to **Assignment Papers** Fee Transmittal Form (in duplicate) (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): 5 References ___ Terminal Disclaimer Return Receipt Postcard Express Abandonment Request Request for Refund Supplemental Information CD, Number of CD(s) Disclosure Statement (2 pages) Remarks (original plus one) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Aaron Wininger, Reg. No. 45,229 Firm Squire, Sanders & Dempsey, L.L.P. 600 Hansen Way Individual name Palo Alto, CA 94304-1043

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Typed or printed name	Aaron Wininger					
Signature		_//		Date	March 27, 2002	

Signature

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Date

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			139	130	139	130	Non-English specification	
Deposit			147	2,520	147	2,520	For filing a request for reexamination	
Account Name	Squire, Sanders & Demp	sey, L.L.P.	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	

Charge any additional fee(s) during the pendency of this application 215 55 Extension for reply within first month 110 ☐ Charge fee(s) indicated below, except for the filing fee 116 400 216 200 Extension for reply within second to the above-identified deposit account. month **FEE CALCULATION** Extension for reply within third month 117 920 217 460 BASIC FILING FEE 118 1,440 218 720 Extension for reply within fourth 1. Large **Entity Small** Entity 128 1,960 228 980 Extension for reply within fifth month Fee Fee Fee Fee Fee Description Fee Paid Code Code (\$) (\$) 119 320 219 160 Notice of Appeal 101 740 201 370 Utility filing fee 120 220 Filing a brief in support of an appeal 320 160 106 330 206 165 Design filing fee 121 280 221 140 Request for oral hearing 510 207 255 Plant filing fee 107 Petition to institute a public use 138 138 1.510 1.510 proceeding 108 740 208 370 Reissue filing fee 240 55 Petition to revive - unavoidable Provisional filling fee 140 110 160 214 80 114 241 640 Petition to revive - unintentional 141 1.280 SUBTOTAL (1) (\$) 0 142 1,280 242 640 Utility issue fee (or reissue) 143 243 230 Design issue fee 460 2. EXTRA CLAIM FEES 144 620 244 310 Plant issue fee Extra Fee from Petitions to the Commissioner 122 122 130 Claims below Paid 130 Total Claims -20 0 Х 0 Processing fee under 37 CFR 1.17 (q) 123 50 123 50 ndependent Submission of Information Disclosure 0 Х 0 126 126 180 .3 180 Claims Recording each patent assignment Multiple х 0 581 40 per property (times number of 40 581 Dependent properties) Entity Small Entity Large 370 Filing a submission after final rejection 146 740 246 Fee Fee Fee Fee (37 ČFR § 1.129(a)) **Fee Description** Code (2) Code **(S)** For each additional invention to be 149 740 249 370 103 18 203 9 Claims in excess of 20 examined (37 CFR § 1.129(b)) Independent claims in excess of 3 202 42 102 84 179 740 279 370 Request for Continued Examination (RCE) Multiple dependent claim, if not paid 104 280 204 140 ** Reissue independent claims over 169 900 169 900 Request for expedited examination 109 84 209 42 of a design application original patent ** Reissue claims in excess of 20 and 110 18 210 9 over original patent Other fee (specify) SUBTOTAL (2) (\$) 0*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)0

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Aaron Wininger	Registration No. Attorney/Agent)	45,229	Telephone	650.856.6500
Signature	6			Date	March 27, 2002

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Attorney Docket No.: 51861.00002



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Date: 3/27/02

Ву:

In re Application of:

Brian Yen

Serial No.: 09/900,803

Filed:

July 6, 2001

Title:

SYSTEM AND METHOD FOR ON-

DEMAND DATA DISTRIBUTION IN

A P2P SYSTEM

Examiner:

Unknown

Art Unit:

2161

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Commissioner for Patents Washington, D.C. 20231

Technology Center 2100

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97(e)(1)

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §\$1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith.

The information was first cited in a communication from the U.S. patent office in a counterpart international application not more than three months prior to the filing of the present information disclosure statement. The Examiner is requested to make this information of official record in the application. Please initial and return the enclosed Form PTO-1449, indicating that the references have been considered and made of record herein.

In re Yen U.S. Appln. No.: 09/900,803 Page 1 of 2 34218

PATENT

Attorney Docket No.: 51861.00002

The information presented herewith is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. <u>05-0150</u>.

Date:

3/27/22

Respectfully submitted,

Squire, Sanders & Dempsey L.L.P. 600 Hansen Way Palo Alto, CA 94304-1043

Telephone (650) 856-6500

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Aaron Wininger

Attorney for Applicant

Reg. No. 45,229

In re Yen
U.S. Appln. No.: 09/900,803

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Complete if Known				
Application Number	09/900,803			
Filing Date	July 6, 2001			
First Named Inventor	Brian Yen			
Group Art Unit	2161			
Examiner Name	Unknown			
Attorney Docket Number	51861.00002			

			U.S. PATENT	OCUMENTS	
	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevar
	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear
		US-5,862,223	01-19-1999	Jay S. Walker, et al.	
		US- 6,226,618	05-01-2001	Edgar Downs, et al.	
		US- 2001/0025256	09-27-2001	Mike Oliphant, et al.	
		US- 2001/0034714	10-25-2001	Hajimu Terao, et al.	
		US- 2001/0051996	12-13-2001	Robin Ross Cooper, et al.	
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	FOREIGN PATENT DOCUMENTS						
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